

Prof. Dr. Klaus Ulrich Schmolke, LL.M. (NYU)
LEHRSTUHL FÜR BÜRGERLICHES RECHT, HANDELS-,
GESELLSCHAFTS- UND WIRTSCHAFTSRECHT
FRIEDRICH-ALEXANDER-UNIVERSITÄT ERLANGEN-NÜRNBERG
FACHBEREICH RECHTSWISSENSCHAFT
SCHILLERSTRASSE 1, 91054 ERLANGEN
TEL (0)9131-85-22819, FAX (0) 9131-85 22819
E-MAIL: handelsrecht@fau.de

LIST OF PUBLICATIONS

1. Activist Short Sellers under EU Financial Markets Regulation,
— in: *Revue internationale des services financiers / International Journal for Financial Services* [RISF] Volume 2021, Number 4, p. 52.
2. Compensation, but no Rewards for Whistleblowers? – Some Thoughts on the Introduction of Financial Incentive Programmes in the Wake of the EU Whistleblower Directive's Transposition,
— in: *Zeitschrift für Europäisches Privatrecht* [ZEuP], 2022, 82-107.
3. Johannes W. Flume, Marktaustausch, Tübingen 2019 (Mohr Siebeck), 304 pages,
— in: *European Review of Contract Law* [ERCL] 16 (2020), 557–561.
4. Economics of remedies – the perspective of corporate law,
— in: Law of Remedies, edited by Franz Hofmann and Franziska Kurz, Cambridge 2019 (Intersentia Ltd), 81-106.
5. Civil Liability of the Company and its Directors for False Financial Statements under German Law,
— in: Issues and Challenges in Corporate and Capital Market Law: Germany and East Asia, edited by Holger Fleischer, Hideki Kanda, Kon Sik Kim and Peter Mülbert, Tübingen 2018 (Mohr Siebeck), pp. 131-162.
— Review: Moritz Bälz, *Social Science Japan Journal* [SSJJ] 2019, 283.
6. Information and Disclosure Duties from a Law-and-Economics Perspective – A Primer,
— in: Information Duties. Japanese and German Private Law, *Journal of Japanese Law – Special Issue 11*, edited by Harald Baum, Moritz Bälz, Marc Dernauer, Köln 2018 (Carl Heymanns Verlag), 3-24.
7. Whistleblowing: Incentives and Situational Determinants (gemeinsam mit Verena Utikal),
— FAU Discussion Papers in Economics 09/2016, online:
https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2820475.
8. Contract Theory and the Economics of Contract Law,
— in: Economic Methods for Lawyers, edited by Emanuel Towfigh and Niels Petersen (Edward Elgar Cheltenham), 2015, pp. 96–120.
— Review: Rhonda L Smith, *Competition and Consumer Law Journal* [CCLJ] 2017 Vol. 25 Part 2, 216-218.

9. Financial Incentives for Whistleblowers in European Capital Markets Law? Legal Policy Considerations on the Reform of the Market Abuse Regime (together with Holger Fleischer),
— in: *European Company Law* [ECL] 9 (2012), 250–259.
10. Expulsion and Valuation Clauses – Freedom of Contract vs. Legal Paternalism in German Partnership and Close Corporation Law,
— in: *European Company and Financial Law Review* [ECFR] 2012, 380–419.
11. The Reform of the Transparency Directive: Minimum or Full Harmonisation of Ownership Disclosure? (together with Holger Fleischer),
— in: *European Business Organization Law Review* [EBOR] 12 (2011), 121–145.
12. Third Max Planck Post-Doc Conference on European Private Law, 10.-11. Mai 2010, Hamburg (together with Walter Doralt),
— in: *Zeitschrift für Europäisches Privatrecht* [ZEuP], 2011, 436–437.
13. Institutional Investors' Mandatory Voting Disclosure – The Proposal of the European Commission against the Background of the U.S. Experience,
— in: *European Business Organization Law Review* [EBOR] 7 (2006), 767–815.